

## CLEARING CHARGES

### EQUITIES (Ticket charge per transaction):

Listed NYSE and AMEX	\$29.00 + \$0.02 per share
Listed Regional	\$29.00 + \$2.00 flat charge per ticket
Listed Other*	\$29.00
OTC	\$29.00

### FIXED INCOME (Ticket charge per transaction):

Treasury Bills, Notes & Bonds	\$35.00
Government Agencies	\$35.00
Municipal Bonds	\$35.00
Corporate Bonds	\$35.00
Zero Coupon Bonds	\$35.00

### OPTIONS (Ticket charge per transaction):

All Contracts	\$26.00 + \$1.50 per contract
Assignments	\$26.00

### PRINCIPAL TRADES:

All Dealer/Street Side Tickets	\$15.00
Customer Side Tickets	Same as Agency/Customer indicated above

### MUTUAL FUNDS (Load and No Load):

New Buys	\$17.50 per transaction
Existing Account Buys	\$ 6.25 per transaction
Liquidations (charge to customer)	\$10.00 per transaction
Exchanges (within Fund Family)	\$ 0.00 per transaction
Automatic Investments	\$ 0.00 per transaction

### MISCELLANEOUS:

Certificate of Deposit	\$35.00 per transaction
Unit Investment Trusts	\$35.00 per transaction
Syndicate Participations:	
Equity	\$26.00 per transaction
Fixed Income	\$30.00 per transaction

### OTHER:

Cancel & Rebills	No charge
Overnight Delivery Mail Service	Charged to RR or Client at cost
Extensions & Technical Liquidations	\$10.00
Supplies, Forms, Marketing Materials	Charged to RR at cost

## SmartStation<sup>SM</sup>

Smart Station Package C (without Quotes) \$110/month single user, VPN Platform

Smart Station Package B w/Quotes \$130/month\* single user, VPN Platform  
\*plus exchange fees

Smart Station Package A (Advance Quotes) \$160/month\* single user, VPN Platform  
\*plus exchange fees  
\*plus any add-on services  
\*plus \$50 one-time secure ID token fee