

CCS SELECT ONE

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Programs offered through First Clearing, LLC

FC Product Marketing Desk – 1-888-MKT-DESK

		Platform	Clearing Charge Schedule		
MUTUAL FUNDS	Fund Source	<p>FundSource is a mutual fund wrap program that enables you to select from over 40 model portfolios or construct a custom asset allocation strategy from a broad array of "best-in-breed" funds. FundSource also provides the added benefits of auto-rebalance and ticketless trading.</p> <p>MINIMUM INVESTMENT: \$25,000</p>	<p>Maximum Annual Charge</p> <p>up to \$100,000 1.75%</p> <p>\$100,000 - \$250,000 1.75%</p> <p>\$250,000 - \$500,000 1.50%</p> <p>\$500,000 - \$1,000,000 1.50%</p> <p>over \$1,000,000 1.15%</p>		<p>FCC Charge</p> <p>0.25%</p> <p>0.25%</p> <p>0.15%</p> <p>0.15%</p> <p>0.10%</p>
	Custom Choice	<p>CustomChoice is a non-discretionary mutual fund wrap program that allows you and your clients to construct an asset allocation strategy based on individual risk and reward objectives and then to create a portfolio from a broad array of no-load and load-waived mutual funds.</p> <p>MINIMUM INVESTMENT: \$25,000</p>	<p>Maximum Annual Charge</p> <p>up to \$100,000 1.75%</p> <p>\$100,000 - \$250,000 1.75%</p> <p>\$250,000 - \$500,000 1.50%</p> <p>\$500,000 - \$1,000,000 1.50%</p> <p>over \$1,000,000 1.15%</p>		<p>FCC Charge</p> <p>0.25%</p> <p>0.25%</p> <p>0.15%</p> <p>0.15%</p> <p>0.10%</p>
	Pathways	<p>Pathways is an advisory, asset allocation, fee based mutual fund program using Russell Investment Company Funds suited to your clients investment objectives.</p> <p>MINIMUM INVESTMENT: \$25,000</p>	<p>Maximum Annual Charge</p> <p>up to \$100,000 1.75%</p> <p>\$100,000 - \$250,000 1.75%</p> <p>\$250,000 - \$500,000 1.50%</p> <p>\$500,000 - \$1,000,000 1.50%</p> <p>over \$1,000,000 1.15%</p>		<p>FCC Charge</p> <p>.25%</p> <p>.25%</p> <p>.15%</p> <p>.15%</p> <p>.10%</p>
CLIENT-DIRECTED	Asset Adviser	<p>Asset Advisor is a non-discretionary fee-based investment advisory program where the client pays an asset-based fee to cover advisory services and custodial/transaction costs. Allowable assets include stocks, bonds, a universe of 3,600 no-load and load-waived mutual funds, UITs, and options in the same account.</p> <p>MINIMUM INVESTMENT: \$50,000</p>	<p>Maximum Annual Charge</p> <p>up to \$100,000 3.00%</p> <p>\$100,000 - \$250,000 3.00%</p> <p>\$250,000 - \$500,000 2.50%</p> <p>\$500,000 - \$1,000,000 2.50%</p> <p>over \$1,000,000 2.00%</p>		<p>FCC Charge</p> <p>0.40%</p> <p>0.40%</p> <p>0.20%</p> <p>0.20%</p> <p>0.10%</p>

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SMAs	Masters	<p>Masters allows you and your client to objectively choose a professional separate account manager from a universe of 60 select managers representing nearly 120 portfolio strategies. The client pays an all-inclusive fee that covers a package of services including portfolio management, custody of assets, transaction charges, research, and monitoring, consulting and advisory services. It's ideal for transitioning clients with existing relationships.</p> <p>MINIMUM INVESTMENT: \$100,000</p>	<p>Maximum Annual Charge*</p> <table> <tr> <td>up to \$100,000</td> <td>3.00%</td> <td>FCC Charge 0.45%</td> </tr> <tr> <td>\$100,000 - \$250,000</td> <td>3.00%</td> <td>0.45%</td> </tr> <tr> <td>\$250,000 - \$500,000</td> <td>2.50%</td> <td>0.25%</td> </tr> <tr> <td>\$500,000 - \$1,000,000</td> <td>2.50%</td> <td>0.25%</td> </tr> <tr> <td>over \$1,000,000</td> <td>2.00%</td> <td>0.15%</td> </tr> </table> <p><small>*additional External Management Fee-see Manager's Disclosure Brochure</small></p>	up to \$100,000	3.00%	FCC Charge 0.45%	\$100,000 - \$250,000	3.00%	0.45%	\$250,000 - \$500,000	2.50%	0.25%	\$500,000 - \$1,000,000	2.50%	0.25%	over \$1,000,000	2.00%	0.15%
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Network	<p>Network Investment Consulting Program is a third-party managed account, allowing you and your clients to search a nationwide universe of leading money managers. Whether you are looking to transition over assets from another broker/dealer or whether you wish to take advantage of Network's long list of "cleared" money managers, they have a solution for you.</p> <p>MINIMUM INVESTMENT: \$100,000</p>	<p>Maximum Annual Charge *</p> <table> <tr> <td>up to \$100,000</td> <td></td> <td>FCC Charge 0.35%</td> </tr> <tr> <td>\$100,000 - \$250,000</td> <td></td> <td>0.35%</td> </tr> <tr> <td>\$250,000 - \$500,000</td> <td></td> <td>0.20%</td> </tr> <tr> <td>\$500,000 - \$1,000,000</td> <td></td> <td>0.20%</td> </tr> <tr> <td>over \$1,000,000</td> <td></td> <td>0.10%</td> </tr> </table> <p><small>*Money Manager account minimums and fees should be confirmed with the Money Manager directly</small></p>	up to \$100,000		FCC Charge 0.35%	\$100,000 - \$250,000		0.35%	\$250,000 - \$500,000		0.20%	\$500,000 - \$1,000,000		0.20%	over \$1,000,000		0.10%	
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Wells Fargo Compass	<p>This program offers 12 internally managed portfolios, ranging from stand-alone managed money portfolios to full asset allocation solutions. The next generation of Wells Fargo Compass Advisory Program Strategies includes several ETF wrap portfolios, which combine the firm's strategic insights with the tactical over and underweights espoused by Wells Fargo's top investment professionals.</p> <p>MINIMUM INVESTMENT: \$50,000</p>	<p>Maximum Annual Charge*</p> <table> <tr> <td>up to \$100,000</td> <td>2.50%</td> <td>FCC Charge 0.50%</td> </tr> <tr> <td>\$100,000 - \$250,000</td> <td>2.50%</td> <td>0.50%</td> </tr> <tr> <td>\$250,000 - \$500,000</td> <td>2.00%</td> <td>0.30%</td> </tr> <tr> <td>\$500,000 - \$1,000,000</td> <td>2.00%</td> <td>0.30%</td> </tr> <tr> <td>over \$1,000,000</td> <td>1.50%</td> <td>0.15%</td> </tr> </table> <p><small>*additional External Management Fee-see Manager's Disclosure Brochure</small></p>	up to \$100,000	2.50%	FCC Charge 0.50%	\$100,000 - \$250,000	2.50%	0.50%	\$250,000 - \$500,000	2.00%	0.30%	\$500,000 - \$1,000,000	2.00%	0.30%	over \$1,000,000	1.50%	0.15%	
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UMA	DMA	<p>The Diversified Managed Allocations (DMA) Program offers investors a combination of professionally managed separate account portfolios strategically allocated across various market capitalizations, styles and risk tolerances-all within a single account.</p> <p>MINIMUM INVESTMENT: \$150,000</p>	<p>Maximum Annual Charge*</p> <table> <tr> <td>up to \$100,000</td> <td>3.00%</td> <td>FCC Charge 0.45%</td> </tr> <tr> <td>\$100,000 - \$250,000</td> <td>3.00%</td> <td>0.45%</td> </tr> <tr> <td>\$250,000 - \$500,000</td> <td>2.50%</td> <td>0.25%</td> </tr> <tr> <td>\$500,000 - \$1,000,000</td> <td>2.50%</td> <td>0.15%</td> </tr> <tr> <td>over \$1,000,000</td> <td>2.00%</td> <td>0.15%</td> </tr> </table> <p><small>*additional External Management Fee-see Manager's Disclosure Brochure</small></p>	up to \$100,000	3.00%	FCC Charge 0.45%	\$100,000 - \$250,000	3.00%	0.45%	\$250,000 - \$500,000	2.50%	0.25%	\$500,000 - \$1,000,000	2.50%	0.15%	over \$1,000,000	2.00%	0.15%
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