

COORDINATED CAPITAL SECURITIES, INC. - STANDARD CLEARING ACCOUNT FEE SCHEDULE

Member FINRA/SIPC

You will be charged certain fees (which are subject to change) for the services provided by us, including, but not limited to, custody and transaction fees applicable to Accounts held at First Clearing¹ and as described in Section 11 of the First Clearing Client Agreement. Certain fees are charged for the services listed below. There may be other fees applicable to specific programs, which are not listed here. Check with your Financial Professional as to the amount of any fee which may be charged to your Account.

ANNUAL ACCOUNT FEES	
Accounts not meeting the waiver criteria described below will be charged the following fees:	
\$65 Advantage Account – Basic (check writing only)	\$125 Advantage Account – Standard (debit card and check writing)
\$45 UGMA/UTMA Account	\$50 IRA *fee charged annually in arrears. This includes Traditional, Spousal, Roth, SEP, and SIMPLE IRAs.
Waiver Criteria: Annual Account Fees will be waived if one of the following criteria is met:	
<ul style="list-style-type: none"> • All accounts within Firm Households with a net market value of \$500,000 inclusive of any outstanding margin loans on June 30. • Advantage Accounts and IRAs with open-end mutual fund positions of <u>\$100,000</u> or more. (Money market funds, closed-end funds and exchange-traded funds do not count toward this exemption). • Investment Accounts that place at least <u>six</u> billable trades between July 1st of the previous year and June 30 of the current year. (Does not apply to Advantage Standard, IRA or ESA accounts) • All accounts that are enrolled in a First Clearing Managed/Fee-based program or a Coordinated Capital Securities, Inc. Managed/Fee-based program account that pay a fee in lieu of commissions. (Normal quarterly asset management fees will continue to apply). • DVP accounts. • Investment Accounts opened on or after January 1st of the current year (Does not apply to Advantage Standard, IRA, or ESA accounts). • Qualified retirement plan accounts (excluding IRAs). • 529 Education Savings Plan accounts. 	
Payment Options: For all accounts except IRAs, the annual account fee will be deducted automatically from the respective account(s) in September of the current year. Customers with IRAs will receive an invoice in August of the current year. Customers will have the choice of paying the fee by returning the invoice with a check or having the fee automatically deducted from the IRA account in September of the current year.	
IRA FEES	
\$50 FCC Custodian IRA Annual Maintenance	\$95 FCC Custodian IRA Termination (waived if over age 70 ½ and death or disability distributions)
ACCOUNT TRANSFERS	
\$95 Account Transfer-Outgoing	\$0 Direct Registration (DRS) Transfer
\$75 Direct Registration Reject Fee	\$150 Deposit/Withdrawal at Custodian (DWAC)
REORGANIZATION ACTIVITY	
\$0 Non-Physical	\$100 per item Instructions Received after Expiration
PHYSICAL SECURITIES	
\$500 Physical Certificate Issuance	\$20 Deposit Physical Certificate
\$50 Physical Certificate Reject Fee	\$500 per item Foreign Security Certificate
\$100* Lost Certificate Replacement * plus transfer bonding and out-of-pocket expenses	
\$500* Physical Securities Safekeeping Fee * initial presentment fee/\$150 per position annually	
TRANSFER ON DEATH	
\$0 Application Fee	\$0 Distribution
OTHER OPERATIONAL AND SERVICE FEES	
\$0 Bond Redemptions	\$15* Prepayments * or margin interest, whichever is greater
\$25 per item Stop payments	\$10 per item Returned Deposit Checks
\$20 per item NSF	\$15 per item Check Request - Overnight Express Mail
\$15 per item Wire Transfers	
MARGIN RATES²	
\$10,000 - \$15,000	Broker Call Rate + 2.5%
\$15,000 - \$50,000	Broker Call Rate + 2.0%
\$50,000 - \$100,000	Broker Call Rate + 1.0%
\$100,000 +	Broker Call Rate + .75%

¹ First Clearing is a trade name used by Wells Fargo Clearing Services, LLC, Member SIPC, a registered broker-dealer and non-bank affiliate of Wells Fargo & Company.

² Margin interest rates are subject to change. Please contact your Financial Professional for a current schedule.

